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PTO/SB/21 (09-04)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

11

Application Number

10/659,734

Filing Date

September 9, 2003

First Named Inventor

ANTONI KOZLOWSKI

Art Unit

1711

Examiner Name

Duc Truong

Attorney Docket Number

6800-0073

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form (duplicate)
 - ☐ Fee Attached (\$180 check)
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement Statement (2 pages)
PTO/SB/08A list of refs (1 page)
2 Cited Refs (pages not counted)

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
 - ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
 - Communication (2 pgs)
 - Request for Corrected Filing Receipt (2 pgs)
 - Copy of Filing Receipt with Changes Indicated (1 pg)
 - Copy of Filing Receipt for Provisional Patent Application No. 60/456,480 Indicating Correct Filing Date (1 pg)
 - Declaration Under 37 CFR 1.63 (1 pg)
 - Supplemental Application Data Sheet (3 pgs)
 - Strikethrough Version of Prior ADS (1 pg)
 - Return Receipt Postcard

- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/ Incomplete Application
 - ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 18-1648.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Robins & Pasternak LLP

Signature

Susan T. Evans

Printed name

Susan T. Evans

Date

April 10, 2006

Reg. No.

38,443

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature

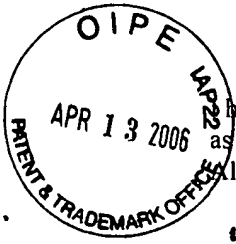
Matthew D. Redlon

Typed or printed name

Matthew D. Redlon

Date

April 10, 2006



hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, V.A. 22313-1450 on the following date:

4-10-06
Date

Matthew D. Redlon

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

KOZLOWSKI, Antoni

Confirmation No.: 3893

Serial No.: 10/659,734

Group Art Unit: 1713

Filing Date: September 9, 2003

Examiner: Truong, Doc.

Title: **WATER-SOLUBLE POLYMER ALKANALS**

COMMUNICATION

Commissioner for Patents
PO Box 1450
Alexandria, V.A. 22313-1450

Sir:

Please replace all documents faxed to the USPTO (Mail Stop Issue Fee) on March 1, 2006, with the documents enclosed herein. Enclosed are the following:

(i) Request for Corrected Filing Receipt, clearly indicating the changes requested, as further shown in the attached marked-up Filing Receipt, with deletions indicated in strikethrough and additions indicated by underlining,

(ii) a newly executed Declaration under 37 CFR 1.63, executed by the inventor, Antoni Kozlowski, on February 3, 2006, with attached Supplemental Application Data Sheet (ADS). A newly executed Declaration is being submitted herewith, since the Declaration executed on 1-08-04 contained incorrect priority information for the instant application, due to inadvertent word-processing errors.


In the documents forwarded on March 1, 2006, the marked-up version of the Filing Receipt failed to indicate the errors therein. The enclosed marked-up Filing Receipt clearly shows the changes requested. Further, in the documents filed on March 1,

2006, the Supplemental ADS was not indicated as such, and changes to the ADS document were not indicated, relative to the prior Supplemental ADS filed on June 23, 2005. Enclosed are both a strike-through version of the prior ADS, and a Supplemental ADS, indicated as such, along with underlining to indicate new entries relative to the prior ADS. Also enclosed is a PTO/SB/81 Power of Attorney and Correspondence Address Indication Form, executed on behalf of the Assignee of record. A Statement Under 37 CFR 3.73(b) was faxed to the USPTO on 8-12-2004, and thus is already of record.

Applicant respectfully request entry of the enclosed documents in the file.

Respectfully submitted,

Date: April 10, 2006

By: 
Susan T. Evans
Registration No. 38,443
On behalf of Nektar Therapeutics

ROBINS & Pasternak LLP
1731 Embarcadero Road, Suite 230
Palo Alto, CA 94303
Telephone: (650) 493-3400
Facsimile: (650) 493-3440



Atty Dkt SHE0073.00
6800-0073
PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the following date:

4-10-06
Date

Matthew D. Redlon

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

KOZLOWSKI, Antoni

Confirmation No.: 3893

Serial No.: 10/659,734

Group Art Unit: 1711

Filing Date: September 9, 2003

Examiner: Truong, Duc

Title: **WATER-SOLUBLE POLYMER ALKANALS**

REQUEST FOR A CORRECTED FILING RECEIPT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Please furnish the undersigned with a corrected filing receipt for the above-identified application, indicating the proper priority information. Please make the following corrections:

Under Domestic Priority data as claimed by applicant:

Please delete:

~~and claims benefit of 60/456,850 03/21/2003~~

and replace with:

and claims benefit of 60/456,580 03/19/2003.

Attached are copies of the marked-up Filing Receipt mailed 03/01/2004 with the corrections indicated above. Additionally, enclosed is a copy of the Filing Receipt for Provisional Application No. 60/456,580 indicating the correct filing date. Additional support for the correct priority information is found in the first paragraph of the instant application, under the section entitled, "Cross Reference to

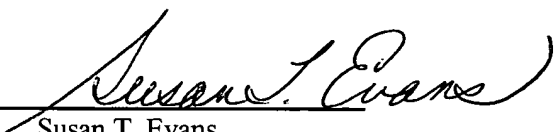
Related Applications". Finally, the information in the Filing Receipt mailed on 12/02/2003 appears to be correct.

Also enclosed is a Supplemental Application Data Sheet in accordance with 37 C.F.R. §1.76(c) with updated priority information.

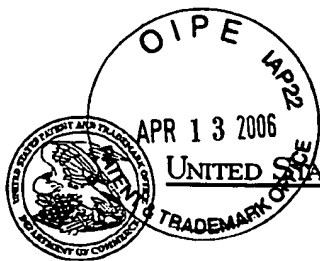
Applicants believe that no fee is required for this correction. If the Commissioner believes that a fee is required, however, he is authorized to deduct it from the undersigned's Deposit Account No. 18-1648.

Respectfully submitted,

Date: April 10, 2006

By: 
Susan T. Evans
Registration No. 38,443
on behalf of Nektar Therapeutics

ROBINS & PASTERNAK LLP
1731 EMBARCADERO ROAD, SUITE 230
PALO ALTO, CA 94303
TEL: (650) 493-3400
FAX: (650) 493-3440



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/659,734 | 09/09/2003 | 1713 | 4104 | SHE0073.00 | 1 | 154 | 8 |

CONFIRMATION NO. 3893

UPDATED FILING RECEIPT



OC000000011993862

21968
NEKTAR THERAPEUTICS
150 INDUSTRIAL ROAD
SAN CARLOS, CA 94070

Date Mailed: 03/01/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

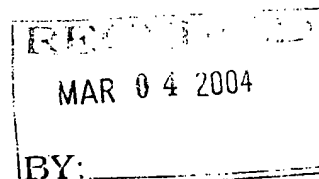
Antoni Kozlowski, Huntsville, AL;

60/456,580 03/19/2003

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/409,251 09/09/2002
and claims benefit of 60/456,850 03/24/2003 *

(*)Data provided by applicant is not consistent with PTO records.



Foreign Applications

If Required, Foreign Filing License Granted: 12/02/2003

Projected Publication Date: 06/10/2004

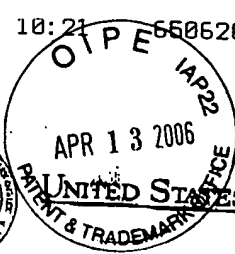
Non-Publication Request: No

Early Publication Request: No

Title

Water-soluble polymer alkanals

Preliminary Class

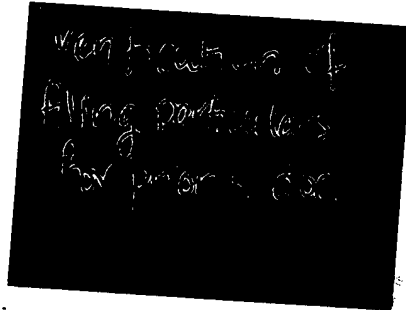


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 60/456,580 | 03/19/2003 | | 160 | SHE0073.PRO2 | | | |

021968
 NEKTAR THERAPEUTICS,
 150 INDUSTRIAL ROAD
 SAN CARLOS, CA 94070



CONFIRMATION NO. 8793

FILING RECEIPT

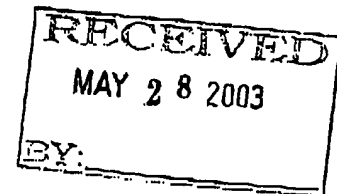
OC000000010109393

Date Mailed: 05/23/2003

Receipt is acknowledged of this provisional Patent Application. It will not be examined for patentability and will become abandoned not later than twelve months after its filing date. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Antoni Kozlowski, Huntsville, AL;



If Required, Foreign Filing License Granted: 05/23/2003

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

Title

Aldehyde derivatives of water-soluble polymers

RECEIVED

JAN 13 2006

ROBINS & PASTERNAK LLP

LICENSE FOR FOREIGN FILING UNDER
 Title 35, United States Code, Section 184
 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING

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PTO/SB/81 (04-05)

**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

| | |
|------------------------|-----------------------------------|
| Application Number | 10/658,734 |
| Filing Date | September 9, 2003 |
| First Named Inventor | ANTONI KOZLOWSKI |
| Title | WATER-SOLUBLE POLYMER ALKANALS |
| Art Unit | 1711 |
| Examiner Name | Duc Truong |
| Attorney Docket Number | SHE0073 (6800-0073) |

I hereby appoint:

☒ Practitioners associated with Customer Numbers:

20855

OR

☐ Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
| | |
| | |
| | |
| | |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number:

OR

☒ The address associated with Customer Number:

21968

OR

| | | | |
|--|-------|-----|--|
| <input type="checkbox"/> Firm or Individual Name | | | |
| Address | | | |
| City | State | Zip | |
| Country | | | |
| Telephone | Email | | |

I am the:

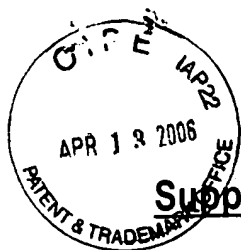
☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is on file. (Form PTO/SB/08).

SIGNATURE of Applicant or Assignee of Record

| | | | |
|-------------------|--|-----------|----------------|
| Signature | <i>Michael B. Einschlag</i> | Date | 4.4.06 |
| Name | Michael B. Einschlag | Telephone | (850) 631-3148 |
| Title and Company | Vice President, Intellectual Property, Nektar Therapeutics | | |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ *Total of one forms are submitted.



Supplemental Application Data Sheet

Application Information

Application Number:: 10/659,734

Filing Date:: 09/09/2003

Application Type:: Regular

Subject Matter:: Utility

Suggested classification::

Suggested Group Art Unit::

CD-ROM or CD-R?::

Number of CD disks::

Number of copies of CDs::

Sequence submission?::

Computer Readable Form (CRF)?::

Number of copies of CRF::

Title:: WATER-SOLUBLE POLYMER ALKANALS

Attorney Docket Number:: SHE0073.00 (6800-0073)

Request for Early Publication?:: No

Request for Non-Publication?:: No

Suggested Drawing Figure::

Total Drawings Sheets:: 1

Small Entity?:: No

Latin Name::

Variety denomination name::

Petition included?:: No

Petition Type::

Licensed US Govt. Agency::

Contract or Grant Numbers::

Secrecy Order in Parent Appl.?:: No

Applicant Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: Poland
Status:: Full Capacity
Given Name:: Antoni
Middle Name::
Family Name:: Kozlowski
Name Suffix::
City of Residence:: Huntsville
State or Province of Residence:: AL
Country of Residence:: US
Street of mailing address:: 2209 Fairfax Drive
City of mailing address:: Huntsville
State of mailing address:: AL
Country of mailing address:: US
Zip Code of mailing address:: 35811

CORRESPONDENCE INFORMATION

Correspondence Customer

Number:: 21968
Name:: Nektar Therapeutics
Street of mailing address:: 150 Industrial Road
City of mailing address:: San Carlos
State of mailing address:: CA
Country of mailing address:: US
Zip Code of mailing address:: 94070-6256
Phone Number:: 650-631-3100
Fax Number:: 650-631-6395
E-Mail address:: sevans@robinslaw.com

DOMESTIC PRIORITY INFORMATION

| | | | |
|------------------|---|----------------------|----------------------|
| Application:: | Continuity Type:: | Parent Application:: | Parent Filing Date:: |
| This Application | Claims the benefit under 35 USC 119(e) of | 60/409,251 | 09/09/2002 |
| And also | Claims the benefit under 35 USC 119(e) of | 60/456,580 | 03/19/2003 |
| | | | |

FOREIGN PRIORITY INFORMATION

| | | | |
|-----------|----------------------|---------------|--------------------|
| Country:: | Application Number:: | Filing Date:: | Priority Claimed:: |
| | | | |
| | | | |
| | | | |

ASSIGNEE INFORMATION

Assignee name:: Nektar Therapeutics AL, Corporation

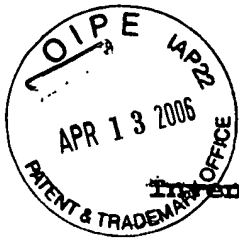
Street of mailing address:: 490 Discovery Drive

City of mailing address:: Huntsville

State of mailing address:: AL

Country of mailing address:: US

Zip Code of mailing address:: 35806



SUPPLEMENTAL APPLICATION DATA SHEET

37 C.F.R. §1.76(c)

~~Inventor Information~~

~~Inventor One Given Name:: Antoni~~
~~Family Name:: Kozlowski~~
~~Postal Address Line One:: 2209 Fairfax Drive~~
~~City:: Huntsville~~
~~State or Province:: Alabama~~
~~Postal or Zip Code:: 35811~~
~~Citizenship Country:: Poland~~

~~Correspondence Information~~

~~Correspondence Customer Number:: 21968~~

~~Application Information~~

~~Title Line One:: Water-Soluble Polymer~~
~~Title Line Two:: Alkanals~~
~~Total Drawing Sheets:: 1~~
~~Formal Drawings?:: No~~
~~Application Type:: Utility~~
~~Docket Number:: SHE0073.00~~

~~Representative Information~~

~~Registration Number:: 38,443~~

~~Continuity Information~~

~~This application :: Claims priority to~~
~~>Application One:: 60/409,251~~
~~Filing Date:: September 9, 2002~~

~~This application :: Claims priority to~~
~~>Application One:: 60/456,850~~
~~Filing Date:: March 19, 2003~~